

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be immediately paid to the clerk who is responsible for the conduct of the election.

For the campaign period from (day clerk received nomination)

YYYY	MM	DD
2 0 2 2	0 6	0 9

to

YYYY	MM	DD
2 0 2 2	1 2	1 9

- Initial filing reflecting finances from start of campaign to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing reflecting finances from start of campaign to end of extended campaign period

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name
ELLIS

Given Name(s)
NEIL ROBERT

Office for Which the Candidate Sought Election
MAYOR

Ward Name or Number (if any)

Municipality
CITY OF BELLEVILLE

Spending Limit
General
\$41,421.80


Parties and Other Expressions of Appreciation
\$4,142.18

Contribution Limit
Contributions from Candidate and Spouse
\$15,481.60

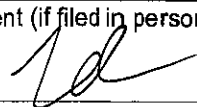
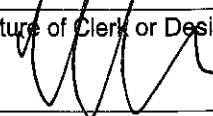
I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, NEIL ROBERT ELLIS, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.


Signature of Candidate

2023/03/15
Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)	Time Filed	Initial of Candidate or Agent (if filed in person)	Signature of Clerk or Designate
2023 03 15	12:47		

Box C: Statement of Campaign Income and Expenses

* Note – No entry is required. Values will auto-populated once the applicable details are calculated.

LOAN

Name of bank or recognized lending institution	Amount borrowed
	\$

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	37,758.88	see Note *
Revenue from items \$25 or less	+ \$		
Sign deposit refund	+ \$		
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$		see Note *
Interest earned by campaign bank account	+ \$		
Other (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
6.	+ \$		
Total Campaign Income (Do not include loan)		= \$	37,758.88 C1

EXPENSES (Note: Include the value of contributions of goods and services)

1. Expenses subject to general spending limit

Inventory from previous campaign used in this campaign (list details in Table 2 of Schedule 1)	\$	4,235.09	see Note *
Advertising	\$	19,024.51	
Brochures/flyers	\$	2,156.83	
Signs (including sign deposit)	\$	5,184.50	
Meetings hosted	\$	377.89	
Office expenses incurred until voting day	\$	454.44	
Phone and/or internet expenses incurred until voting day	\$	316.73	
Salaries, benefits, honoraria, professional fees incurred until voting day	\$		
Bank charges incurred until voting day	\$	244.54	
Interest charged on loan until voting day	\$		
Other (provide full details)			
1. Automotive	+ \$	100.00	
2. Office rental	+ \$	1,200.00	
3.	+ \$		
4.	+ \$		
5.	+ \$		
6.	+ \$		
Total Expenses subject to general spending limit	\$	33,294.53	C2

2. Expenses subject to spending limit for parties and other expressions of appreciation

1. Election night celebration	+ \$	2,424.74	
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2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation		\$	2,424.74 C3

3. Expenses not subject to spending limits

Accounting and audit	\$	1,921.00	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	\$		see Note *
Office expenses incurred after voting day	\$	60.46	
Phone and/or internet expenses incurred after voting day	\$		
Salaries, benefits, honoraria, professional fees incurred after voting day	\$		
Bank charges incurred after voting day	\$	58.15	
Interest charged on loan after voting day	\$		
Expenses related to recount	\$		
Expenses related to controverted election	\$		
Expenses related to compliance audit	\$		
Expenses related to candidate's disability (provide full details)			

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Other (provide full details)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Total Expenses not subject to spending limits \$ **2,039.61 C4**

Total Campaign Expenses (C2 + C3 + C4) = \$ **37,758.88 C5**

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	_____ D1
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	_____
Surplus (or deficit) for the campaign	= \$	_____ D2

If line D2 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 – Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$	6,263.79	
Contributions in goods and services from candidate and spouse (include value listed in Table 1 and Table 2)	+ \$	4,235.09	see Note *
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	\$		
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Table 3 and Table 4) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	\$	27,260.00	see Note *
Less: Ineligible contributions paid or payable to the contributor Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$		
	\$		
Total Amount of Contributions (record under Income in Box C)	= \$	37,758.88	1A

Part II – Contributions from candidate or spouse

Table 1: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Total		

Additional information is listed on separate supplementary attachment, if completed manually.

**Table 2: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
(Note: Value must be recorded as a contribution from the candidate and as an expense.)**

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value (\$)
Small signs	2022/09/14	Neil Ellis	400	2,783.00
Large signs	2022/09/14	Neil Ellis	52	1,452.09
Total				4,235.09

Additional information is listed on separate supplementary attachment, if completed manually.

Part III – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 3: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
See attached list			27,260.00	
Total			27,260.00	

Additional information is listed on separate supplementary attachment, if completed manually.

**Table 4: Contributions in goods or services from individuals other than candidate or spouse
(Note: Must also be recorded as Expenses in Box C.)**

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Total				

Additional information is listed on separate supplementary attachment, if completed manually.

**Total for Part III – Contributions exceeding \$100 per contributor
(Add totals from Table 3 and Table 4 and record the total in Part 1 – Summary of Contributions) \$ 27,260.00 1B**

NEIL R. ELLIS FOR MAYOR 2022

SUMMARY OF MONETARY CONTRIBUTIONS OTHER THAN CANDIDATE OR SPOUSE

Name	Full address	Date Received 2022	Amount Received
TOTAL			
Syed Mohammad Osman Naqvi	310 Ventanna Way Ottawa ON K2J 0W1	09-Jun	1,200.00
Iqra Khalid	3414 Mulcaster Rd. Mississauga ON L5L 5A8	17-Jun	200.00
Andrew Balfour	575 Byron Ave. Suite 411 Ottawa ON K2A 1R7	21-Jun	100.00
Rick Phillips	3181 Shannonville Rd. Plainfield ON K0K 2V0	07-Jul	100.00
Nicholas Mather	616 Corrigan Road Peterborough ON K9L 2A2	11-Jul	500.00
Michael Harris	27 Tile Dr. PO 42 Foxboro ON K0K 2B0	19-Jul	500.00
Charles Smith	A-256 River Rd. Corbyville ON K0K 1V0	21-Jul	1,000.00
Frederick Ellis	113A Guy Wilson Rd. Boulter ON K0L 1G0	21-Jul	200.00
Eric Lindenberg	216 Albert St. Belleville ON K8N 3N7	25-Aug	300.00
Gail Bax	32 S. John St. Belleville ON K8N 0K8	30-Aug	1,200.00
Vince Badaway	52 Rose Ave. Port Colborne On L3K 2V4	06-Sep	500.00
Carol Barratt	52 Hill St. RR 2 Stirling ON K0K 3E0	07-Sep	250.00
Brad Aulthouse	230 Bridge St. E. Belleville ON K8P 1P1	07-Sep	500.00
Douglas Ellis	111 Bridge St W. Belleville ON K8P 1J4	07-Sep	100.00
Sukchen Kang	71 Tracey Park Drive Belleville ON K8P 5E4	07-Sep	200.00
John Beach	17 Cherry Gdns. Belleville ON K8N 1H3	07-Sep	300.00
Curtis Hayes	215D North Park St. Belleville ON K8P 4T9	08-Sep	50.00
John Peshnak	298 Bridge St. E. Belleville ON K8N 1P6	13-Sep	50.00
Thomas Lafferty	48 Stanley Park Dr. Belleville ON K8N 4N2	13-Sep	400.00
Robert Clute	100 Bridge St. E. Belleville ON K8N 1M3	13-Sep	1,000.00
Mark Hanley	31 Keegan Pkwy. Unit 14 Belleville ON 8N 5N8	13-Sep	1,000.00
John Grebby	67 Thorncliffe Dr. Belleville ON K8P 4M1	13-Sep	60.00
Glenn Ellis	616 Bridge St. E. Belleville ON K8N 1S3	13-Sep	300.00
Lisa Bradford	134 York St. Ottawa On K1N 1K8	16-Sep	400.00
Elizabeth Rolston	12 Hastings Dr. Belleville ON K8N 1G3	19-Sep	500.00
Ann Scott	75 Simcoe Dr. Belleville ON K8N 0N1	19-Sep	500.00
Margo Holoway	100 John St. S. Belleville ON K8N 3N6	19-Sep	50.00

NEIL R. ELLIS FOR MAYOR 2022

SUMMARY OF MONETARY CONTRIBUTIONS OTHER THAN CANDIDATE OR SPOUSE

Name	Full address	Date Received 2022	Amount Received
Tony Primeau	74 Glenview Cr. Belleville ON K8P 0G1	23-Sep	200.00
Susan Primeau	74 Glenview Cr. Belleville ON K8P 0G1	23-Sep	200.00
Sandra Macdonald- Wight	364 Bleecker Ave. Belleville On K8N 3V5	28-Sep	150.00
Donald Pysktywec	38 Plaza Square Belleville ON K8N 4J3	28-Sep	100.00
Edward Kafka	PO Box 43 STN CSC Belleville ON K8N 5A2	28-Sep	1,000.00
Jacqueline Teasdale	4862 Old Hwy 2 RR 4 Belleville On K8N 4Z4	28-Sep	1,000.00
Sukhjinder Sidhu	16 Waterloo Court Brampton ON L6Y 3M5	03-Oct	1,000.00
Ernest Finkle	61 Southview Ave. Belleville On K8N 2J6	04-Oct	100.00
Nick Staikos	12 Applewood Court Trenton ON K8V 5J4	04-Oct	200.00
Donald Raycroft	916 Blessington Rd. Corbyville ON K0K 1V0	04-Oct	300.00
Jerry Dirocco	PO Box 1598 STN CSC Belleville ON K8N 5J2	04-Oct	100.00
Bernard Ouellet	4 Kingsford Crt. Belleville ON K8P 5G8	04-Oct	100.00
Paul Bordonaro	58 Hillside Meadows Trenton ON K8V 0J6	12-Oct	300.00
Branka Dzelajlija	3164 Cole Cr Burlington ON L7M 0A4	13-Oct	1,200.00
George Dzelajlija	3164 Cole Cr Burlington ON L7M 0A4	13-Oct	1,200.00
John Mutton	112 Duke St. Bowmanville ON L1C 2W2	13-Oct	1,200.00
Brad Devolin	443 Milligan Lane Napanee ON K7R 3Z4	13-Oct	500.00
J. Allan Stitt	297 Bridge St. E. Belleville ON K8N 1P5	13-Oct	100.00
Mary Fitzpatrick-Matheson	# 306-170 Park St. S. Oshawa ON L1J 4H2	17-Oct	1,200.00
Shannon Macgregor	2467 Eaglesham Path Oshawa On L1L 0M7	17-Oct	1,200.00
Tina Leonarduzzi	42 Maitland Dr. Belleville ON K8N 0N3	19-Oct	500.00
Kurt Vanclief	907 Victoria Rd. Ameliasburg ON K0K 1A0	21-Oct	300.00
John Edwards	665 Harmony Rd. Unit 18 Corbyville ON K0K 1V0	26-Oct	1,200.00
James Maloney	978 Royal York Rd. Toronto ON M9X 2E9	02-Nov	250.00
Rick Kester	97 Tracey Park Dr. Belleville ON K8P 5C5	01-Dec	1,000.00
Thomas McEvoy	19 Woodland Acres Belleville ON K8N 4Z2	16-Dec	1,200.00
			<u>27,260.00</u>

Schedule 2 – Fundraising Events and Activities

Complete a separate schedule for each event or activity held.

Additional schedule(s) attached, if completed manually.

Fundraising Event/Activity 1

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person) \$ _____ 2A

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold x _____ 2B

Total Part I (2A X 2B) (include in Part I of Schedule 1) = \$ _____

Part II – Other revenue deemed a contribution

Provide details (e.g., revenue from goods sold in excess of fair market value)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Total Part II (include in Part I of Schedule 1) = \$ _____

Part III – Other revenue not deemed a contribution

Provide details (e.g., contribution of \$25 or less; goods or services sold for \$25 or less)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Total Part III (include under Income in Box C) = \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Total Part IV Expenses (include under Expenses in Box C) = \$ _____

Auditor's Report – Municipal Elections Act, 1996 (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA

Municipality BELLEVILLE	Date (yyyy/mm/dd) 2023/03/15
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Contact Information

Last Name or Single Name CHURCHER	Given Name(s) DOUGLAS	Licence Number 1-18672
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Address

Suite/Unit Number	Street Number 525	Street Name DUNDAS STREET EAST
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Municipality BELLEVILLE	Province ONTARIO	Postal Code K8N 1G4
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Telephone Number 613-966-2844	Email Address dchurcher@welch.on.ca
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The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

INDEPENDENT AUDITOR'S REPORT

To Neil Ellis, Candidate for Mayor of the City of Belleville and the City Clerk of the City of Belleville

Report on the Audit of the Financial Statements

Qualified Opinion

We have audited the accompanying Financial Statement (Form 4) of **Neil Ellis**, candidate for Mayor of the City of Belleville at municipal election held on October 24, 2022, which comprise of the statement of campaign income and expenses, the statements of the calculation of surplus or deficit, schedule 1- contribution and schedule 2 - fundraising events and activities for campaign period ended December 19, 2022. The financial statements have been prepared by the Candidate based on financial reporting provisions of the Municipal Elections Act, 1996 and guidance issued by Ministry of Municipal Affairs and Housing.

In our opinion, except for the effects of the matter described in the Basis for Qualified Opinion section of our report, the accompanying financial statements present fairly, in all material respects, the income and expenses of **Neil Ellis** for the campaign period ending December 19, 2022 in accordance with the financial reporting provisions of the Municipal Elections Act, 1996 and guidance issued by Ministry of Municipal Affairs and Housing.

Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in any election campaign, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of income and expenses was limited to the amounts recorded in the candidate's election campaign records and we were not able to determine whether any adjustments might be necessary to income, expenses and surplus/deficit for the campaign period from June 9, 2022 to December 19, 2022.

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are independent of the candidate in accordance with the ethical requirements that are relevant to our audit of the financial statements in Canada, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified opinion.

Emphasis of Matter

We draw attention to Note 1 to the financial statements, which describes the basis of accounting. The financial statements are prepared to assist the candidate to meet the requirements of the Municipal Elections Act, 1996 and the guidance issued by Ministry of Municipal Affairs and Housing. As a result, the financial statements may not be suitable for another purpose. Our report is intended solely for the candidate and city clerk and should not be used by any other parties. Our opinion is not modified in respect of this matter.

INDEPENDENT AUDITOR'S REPORT (continued)

Responsibilities of Candidate for the Financial Statement

The candidate are responsible for the preparation and fair presentation of the financial statements in accordance with the financial reporting provisions of the Municipal Elections Act, 1996 and guidance issued by Ministry of Municipal Affairs and Housing, and for such internal control as the candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements. As part of an audit in accordance with Canadian generally accepted auditing standards, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the financial statement, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the candidate.
- Evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with the candidate regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

Belleville, Ontario
March 15, 2023


CHARTERED PROFESSIONAL ACCOUNTANTS
LICENSED PUBLIC ACCOUNTANTS

NEIL ELLIS, CANDIDATE
NOTE TO THE FINANCIAL STATEMENTS
PERIOD ENDED DECEMBER 19, 2022

1. BASIS OF ACCOUNTING

The Candidate's Financial Statement (Form 4) has been prepared in accordance with the financial reporting provisions of Municipal Election Act, 1996 and 2022 Candidate's Guide for Ontario Municipal Council and School Board Elections issued by Ministry of Municipal Affairs and Housing.

The Financial Statements are prepared to assist the candidate to meet the requirements of the Municipal Elections Act, 1996. The Financial Statements are intended solely for the use of the candidate and the City Clerk. Accordingly, readers are cautioned that the Financial Statements may not be suitable for another purpose.